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**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants:	KIKUMOTO, Tadao	Examiner:	Not yet assigned
Serial No.:	09/456,665	Group Art Unit:	2734
Filed:	12/09/1999	Docket No.:	230980-0213
Title:	METHOD AND SYSTEM FOR WAVEFORM COMPRESSION AND EXPANSION		

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on October 7, 2002.

By:   
Richard Ruggiero

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT****RECEIVED**

OCT 18 2002

Commissioner for Patents  
Washington, D.C. 20231

**Technology Center 2600**

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form PTO/SB/08 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the issuance of a first Office Action in the above-identified application. Accordingly, no fee is due for consideration of the items listed on the enclosed Form PTO/SB/08.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form PTO/SB/08 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or

otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form PTO/SB/08, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge fees, if any, to Deposit Account No. 50-0872.

Dated: October 7, 2002

Respectfully submitted,

By

Ted R. Rittmaster  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kikumoto, Tadao      Examiner: Not assigned  
Serial No.: 09/456,665      Group Art Unit: 2734  
Filed: December 9, 1999      Docket: 230980/0213  
Title: METHOD AND SYSTEM FOR WAVEFORM COMPRESSION AND EXPANSION

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on October 7, 2002.

By: Richard Ruggiero  
Richard Ruggiero

Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

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OCT 18 2002

**Technology Center 2600**

- Transmittal Sheet with Certificate of Mailing thereon (in duplicate)
- Supplemental Information Disclosure Statement transmittal (in duplicate)
- PTO/SB/08 Form
- Cited References (1)
- Return postcard

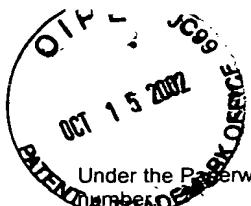
Please charge any fees associated with this transmittal to Deposit Account No 50-0872. A duplicate of this sheet is enclosed.

Date: October 7, 2002

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Substitute for form 1449B/PTO		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<b>Application Number</b>	09/456,665
Date Submitted: September 13, 2002 <i>(use as many sheets as necessary)</i>		<b>Filing Date</b>	12/09/1999
		<b>First Named Inventor</b>	Tadao Kikumoto
		Group Art Unit	2734
		Examiner Name	Unassigned
Sheet	1	of	1
		Attorney Docket Number	230980/0213

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A1	Keith Lent, <i>An Efficient Method for Pitch Shifting Digitally Sampled Sounds</i> , Computer Music Journal, Vol. 13, No. 4 Winter 1989, pp 65-71	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.